

05-14-01

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PTO/SB/05 (03-01)

Approved for use through 10/31/2002 OMB 0651-0032
Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No.	8557
First Inventor	Timothy William Dake, et al.
Assignee	The Procter & Gamble Co.
Title	Compositions Having Enhanced Aqueous Solubility and Methods of Their Preparation
Express Mail Label No.	EK952553070US

APPLICATION ELEMENTS
See MPEP Chapter 600 concerning utility patent application contents.

ADDRESS TO: **Commissioner for Patents
Box Patent Application
Washington, D.C. 20231**

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- ☐ Applicant claims small entity status
(see 37 CFR §1.27)
- ☒ Specification Total Pages [46]
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☐ Drawing(s) (35 USC §113) Total Sheets ☐
- Oath or Declaration Total pages [2]
 - ☐ Newly executed (original or copy)
 - ☐ Copy from a prior application (37 CFR §1.63(d))
(for continuation/divisional with Box 18 complete)
 - ☐ **DELETION OF INVENTORS**
Signed statement attached deleting inventor(s)
named in the prior application,
see 37 CFR §§1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR §1.76

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - ☐ Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - ☐ CD-ROM or CD-R (2 copies); or
 - ☐ Paper
 - ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- ☐ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR §1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. 1

Prior application information: Examiner: _____ Group/Art Unit: _____
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

NAME	Kelly L. McDow-Dunham				
ADDRESS	The Procter & Gamble Co.				
	6071 Center Hill Avenue				
CITY	Cincinnati	STATE	Ohio	ZIP CODE	45224
COUNTRY	USA	TELEPHONE	(513) 634-0102	FAX	(513) 634-3752

Name (Print/Type)	Kelly L. McDow-Dunham	Registration No. (Attorney/Agent)	43,787
Signature	<i>Kelly L. McDow-Dunham</i>	Date	May 11, 2001

+ Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	
Confirmation Number	
Filing Date	May 11, 2001
First Named Inventor	Timothy William Dake, et al.
Examiner Name	
Group/Art Unit	
Attorney Docket No..	8557

TOTAL AMOUNT OF PAYMENT (\$ 2,434.00

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 16-2480

Deposit Account Name The Procter & Gamble Company

- ☒ Charge Any Additional Fee Required Under status. See 37 CFR §127 37 C.F.R. §§1.16 and 1.17

FEE CALCULATION

1. BASIC FILING FEE – Large Entity

Code	(\$)	Fee Description	Fee Paid
101	710	Utility filing fee	<input checked="" type="checkbox"/>
106	320	Design filing fee	<input type="checkbox"/>
107	490	Plant filing fee	<input type="checkbox"/>
108	710	Reissue filing fee	<input type="checkbox"/>
114	150	Provisional filing fee	<input type="checkbox"/>

SUBTOTAL (1) (\$)[710]

2. EXTRA CLAIM FEES – Large Entity

	Extra	Below	Fee
	Claims	Fee	Paid
Total Claims	[98] - 20** = [78]	x	[18] = [1404]
Independent Claims	[7] - 3** = [4]	x	[80] = [320]
Multiple Dependent		[0] =	[0]

** or number previously paid, if greater; For Reissues, see below

Code	(\$)	Fee Description
103	18	Claims in excess of 20
102	80	Independent claims in excess of 3
104	270	Multiple dependent claim, if not paid
109	80	**Reissue independent claims over original patent
110	18	**Reissue claims in excess of 20 & over original patent

SUBTOTAL (2) (\$)[1724]


FEE CALCULATION (continued)

3. ADDITIONAL FEES

Code	(\$)	Fee Description	Fee Paid
105	130	Surcharge-late filing fee or oath	<input type="checkbox"/>
127	50	Surcharge-late provisional filing fee or cover sheet	<input type="checkbox"/>
139	130	Non-English specification	<input type="checkbox"/>
147	2,520	For filing a request for <i>ex parte</i> reexamination	<input type="checkbox"/>
112	920*	Requesting publication of SIR prior to Examiner's action	<input type="checkbox"/>
113	1,840*	Requesting publication of SIR after Examiner's action	<input type="checkbox"/>
115	110	Extension for reply within 1 st month	<input type="checkbox"/>
116	390	Extension for reply within 2 nd month	<input type="checkbox"/>
117	890	Extension for reply within 3 rd month	<input type="checkbox"/>
118	1,390	Extension for reply within 4 th month	<input type="checkbox"/>
128	1,890	Extension for reply within 5 th month	<input type="checkbox"/>
119	310	Notice of Appeal	<input type="checkbox"/>
120	310	Filing a brief in support of an appeal	<input type="checkbox"/>
121	270	Request for oral hearing	<input type="checkbox"/>
138	1,510	Petition to institute a public use proceeding	<input type="checkbox"/>
140	110	Petition to revive - unavoidable	<input type="checkbox"/>
141	1,240	Petition to revive - unintentional	<input type="checkbox"/>
142	1,240	Utility issue fee (or reissue)	<input type="checkbox"/>
143	440	Design issue fee	<input type="checkbox"/>
144	600	Plant issue fee	<input type="checkbox"/>
122	130	Petitions to the Commissioner	<input type="checkbox"/>
123	50	Petitions related to provisional applications	<input type="checkbox"/>
126	180	Submission of Information Disclosure Statement	<input type="checkbox"/>
146	710	Filing a submission after final rejection (37 CFR § 1.129(a))	<input type="checkbox"/>
149	710	For each additional invention to be examined (37 CFR §1.129(b))	<input type="checkbox"/>
179	710	Request for Continued Examination (RCE)	<input type="checkbox"/>
169	710	Request for expedited examination of a design application	<input type="checkbox"/>
		Other fee (specify) _____	<input type="checkbox"/>
		Other fee (specify) _____	<input type="checkbox"/>

* Reduced by Basic Filing Fee Paid SUBTOTAL(3) (\$) [0]

SUBMITTED BY

SUBMITTED BY				Complete (if applicable)	
Name (Print/Type)	Kelly L. McDow-Dunham	Registration No. (Attorney/Agent)	43,787	Telephone	(513) 634-0102
Signature				Date	May 11, 2001

WARNING: Information on this form may become public. Credit Card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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